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Docket No. CF/034 5-15-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Andrew C. Gilbert et al.
Application No.: 10/047,607 Confirmation No.: 5741
Filed : January 14, 2002
For : SYSTEMS AND METHODS FOR ELECTRONIC
TRADING THAT PERMIT PRINCIPAL/BROKER
TRADING
Group Art Unit : 2164
Examiner : Not Yet Assigned

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MAY 02 2003

GROUP 3600

Commissioner for Patents New York, NY 10020
P.O. Box 1450 April 24, 2003
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER FOR
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information
Disclosure Statement in the above-identified application.
This Statement is submitted:

- ☐ [] within three months of the application filing date;
- ☒ [X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account

No. 06-1075. A duplicate copy of this letter is
transmitted herewith.

Respectfully submitted,

Denise Bergin
Denise Bergin
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I hereby certify that this
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April 24, 2003

Lillian Garcia

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